

**Production Linked Incentive Scheme (PLI) for Automobile and Auto Components Industry
(PLI-Auto) - Application Form**

(All fields are mandatory)

1.1 Applicant Company Overview			
Applicant Company Name			
Date of Incorporation	CIN	PAN	Applicant Category
			[Drop down] 1. Existing Automotive Manufacturing Company (EAMC) 2. New Non-Automotive Investor Company (NNIC)
Proposed Segment:			
<input type="checkbox"/> Champion OEM Scheme <input type="checkbox"/> Component Champion Scheme <input type="checkbox"/> Both *			
* Applicants applying for both, will have to meet Minimum Domestic Investment and Minimum Determined Sales Value conditions for both schemes separately. Component manufacturer is not permitted to apply for Champion OEM scheme.			
For Existing Automotive Manufacturing Company applying for Champion OEM scheme:			
<input type="checkbox"/> Except 2W & 3W <input type="checkbox"/> 2W & 3W <input type="checkbox"/> Both ^			
^ Applicants applying for both, will have to meet Minimum Domestic Investment for higher of the two categories.			
1.2 Company Details			
<input type="checkbox"/> Public Listed <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> Other Stock Exchanges			
<input style="width: 150px;" type="text"/>			
External Credit Rating	Rating Agency	Current Rating	Date of Rating
Registered Office Address	City	PIN Code	
Corporate Office Address	City	PIN Code	
Website	Import Export Code (IEC)		



(All fields are mandatory)

2.1 Details of subsidiary company(ies), if any, which shall claim incentive jointly with the applicant company

Subsidiary companies of the Applicant company are free to apply separately under the PLI Auto scheme. However, if the Applicant wishes to include any subsidiary company(ies) in its incentive claim then please add details of such subsidiary company(ies) here. Further, relevant details of such subsidiary company(ies) to be provided in all such instances where details of subsidiary company (ies) have been sought specifically in this form.

Subsidiary Company Name	Registered at (Address)	CIN	Date of Incorporation	% shareholding of Applicant

2.2 Authorised Signatory of Applicant & Subsidiary(ies)

Company Name	Signatory Name	Designation	PAN	Email	Mobile No.	Address

Authorization Letter(s) to be uploaded in Document Uploads section

2.3 Statutory Auditor Details (as on date of Application)

Name of the Firm	FRN Number	Financial Year Employed
<input type="text"/>	<input type="text"/>	<input type="text"/>

2.4 Credit History of Applicant & Subsidiary(ies)

RBI Defaulter List	Wilful Defaulter List	SEBI Debarred List	CIBIL Report
Yes/ No	Yes/ No	Yes/ No	Uploaded/ Not available

Certificate from Company Secretary/ Board of Directors to be uploaded in Document Uploads section



2.5 Nature of Current Business of Applicant & Subsidiary(ies)

Nature of Current Business of Applicant Company

Business Profile/ Corporate Presentation to be uploaded in Document Uploads section

Nature of Current Business of Subsidiary Company(ies)

Business Profile/ Corporate Presentation to be uploaded in Document Uploads section

2.6 Current Manufacturing Facilities in India of Applicant & Subsidiary(ies)

Company Name	Address	GSTIN	Automobiles being manufactured	Auto-components being manufactured	Other manufactured products

GST Registration Certificates to be uploaded in Document Uploads section



2.7 Key Promoters (not less than 51% equity shareholding)

Name	Nationality/ Country	Eq. Shares%	No. of Equity Shares	Mobile No.	Email	Address	Date of Birth
Total		0.00%	0				

Certified Shareholding Pattern to be uploaded in Document Uploads section

2.8 Board of Directors

Name	Designation	DIN	Email	Mobile No.	Address	Date of Birth

Profile of Directors to be uploaded in Document Uploads section

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2.9 Key Managerial Personnel (KMPs) [CEO, Plant Head, CFO, CS or others]

Name	Designation	Email	Mobile No.	Address

2.10 Remarks/ Comments, if any, for Section 2 of the Application Form

Remarks/ Comments

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(All fields are mandatory)

3.1 Instructions

- i. Group Company(ies) shall mean two or more enterprises which, directly or indirectly, are in a position to exercise twenty-six percent or more of voting rights in the other enterprise; or appoint more than fifty percent of members of Board of Directors in the other enterprise. (As defined in the FDI Policy Circular of 2020)
- ii. The applicant-Existing Automotive Manufacturing Company is required to provide the Global group revenue from automotive and/or auto component manufacturing and Global Investment in fixed assets (gross block). The applicant-New Non-Automotive Investor Company is required to provide the Global net worth, in line with clause 4.1 of the scheme guidelines for the year ending on March 31, 2021.
- iii. In case the audited financial statements/ annual reports are being prepared on calendar year basis or does not reflect the revenue from automotive and/or auto component manufacturing, the applicant should provide for Global group revenue from automotive and/or auto component manufacturing, Global Investment in fixed assets (gross block) and Global net worth, as the case may be, on self certification basis for the year ending on March 31, 2021. The audited financial statements/ annual reports may be submitted for calendar year or financial year, as may be available.
- iv. In case annual reports are available in any currency other than INR, the Indian rupee equivalent amount may be submitted applying average of exchange rate notified by the RBI as on the 1st day and last day of the reporting period.
- v. The certificate issued by the applicant should mention names of group companies, relationship with the applicant, location of registration and revenue/ investment/ net worth details of the company considered by the applicant.
- vi. The applicant is also required to submit a certificate from such group company(ies) whose revenue/ investment/ net worth is being considered, stating: name of the company, relationship with the applicant, registration no., location of registration, revenue from automotive and/or auto component manufacturing, Investment in fixed assets (gross block) and net worth as the case may be.
- vii. The total of revenue/ investment/ net worth reflected in the certificate from all such group companies should be matching with the total reported for the qualification criteria by the applicant.

3.2 Basic Eligibility Criteria (Self-certified)

For company or its Group company(ies) with existing presence in India or globally in the Automotive vehicle and components manufacturing business:

Particulars	Applicable Period	Amount (Rs. in crore)	Minimum Threshold
Global group revenue from automotive and/or auto component manufacturing (including Group Companies)	FY 2020-21		Auto OEM - Rs. 10,000 crore Auto Component - Rs. 500 crore
Global Investment in fixed assets (gross block) (including Group Companies)	As on 31/03/2021		Auto OEM - Rs. 3,000 crore Auto Component - Rs. 150 crore

For new non-automotive investor company or its Group company(ies):

Particulars	Applicable Period	Amount (Rs. in crore)	Minimum Threshold
Global Net worth (including Group Companies)	As on 31/03/2021		Rs. 1,000 crore

Annual Report of Applicant/ Holding Company and Self-Certification on Revenue/ Investment/ Net worth of the applicant (including group companies) to be uploaded in Document Uploads section.

Please enter zero for criteria not applicable to your category.

3.3 Details of Group Companies whose credentials have been considered for the purpose of Eligibility

Company Name	Registered at (Address)	Registration No.	Relationship with Applicant	FY 2020-21 (Rs. in crore)	As on 31/03/2021 (Rs. in crore)	As on 31/03/2021 (Rs. in crore)
				Revenue from automotive and/or auto component manufacturing (For Existing Automotive Manufacturing Company)	Investment in fixed assets (gross block) (For Existing Automotive Manufacturing Company)	Net worth (For New Non-Automotive Investor Company)

Self certification by each group company considered above to be uploaded in Document Uploads section.

3.4 Remarks/ Comments, if any, for Section 3 of the Application Form

Remarks/ Comments

(All fields are mandatory)

4.1 Financial Details of Applicant

Instructions:

- i. The total revenue from operations should be matching with the revenue appearing in the P&L statement of the audited financial statements/ annual report.
- ii. Revenue from Eligible Products should be disclosed only to the extent of revenue from goods manufactured in India.
- iii. Eligible Products: List of Advanced Automotive Technology (AAT) products (Vehicles and Components) as per the clause 15 of the scheme guidelines.

(Rs. in crore)

Revenue from Manufacturing Operations	2018-19	2019-20	2020-21
Domestic Manufacturing Revenue			
1.) AAT Vehicles			
2.) AAT Components			
3.) Other Vehicles			
4.) Other Components			
5.) Other Goods			
Export Manufacturing Revenue			
1.) AAT Vehicles			
2.) AAT Components			
3.) Other Vehicles			
4.) Other Components			
5.) Other Goods			
Income other than above			
Total Revenue	-	-	-
Profit before Tax			
Profit after Tax			

Annual Reports for 2018-19, 2019-20 & 2020-21 to be uploaded in Document Uploads section.

Similar Financial details for subsidiary(ies) to be uploaded in Document Uploads section.

4.2 Remarks/ Comments, if any, for Section 4 of the Application Form

Remarks/ Comments

(All fields are mandatory)

5.1 Baseline Data for Eligible Sales/ Net Sales for FY 2019-20

- Instructions: i. The applicant should submit a certificate, attested by an authorized signatory, mentioning Eligible Sales Value (ESV) for Financial Year 2019-20.
- ii. Upload Relevant document in 'Document Uploads' section.
- iii. The Baseline ESV for an applicant company shall include the ESV of Eligible products in India in the base year for the applicant company as well as any subsidiary company(ies) in India considered for claiming incentive jointly with applicant.
- iv. Net Sales means Gross Sales net of credit notes (raised for any purpose), returns, allowances, discounts (including but not limited to cash, volume, turnover, target or for any other purpose), taxes applicable.

5.2 List of AAT Vehicle Products for which applicant is applying under the scheme

Product	Yes/ No	Product	Yes/ No
2W BEV - L1		Tractor BEV - A7	
2W BEV - L2			
3W BEV - L5M		Military use BEV	
3W BEV - L5N			
Quadricycle BEV - L7M		Other BEV	
Quadricycle BEV - L7N			
PV BEV - M1		Hydrogen Fuel Cell - PV - M1	
PV BEV - M2		Hydrogen Fuel Cell - PV - M2	
CV BEV - N1		Hydrogen Fuel Cell - CV - N1	
CV BEV - N2		Hydrogen Fuel Cell - CV - N2	
CV BEV - N3		Hydrogen Fuel Cell - CV - N3	
CV BEV - M3		Hydrogen Fuel Cell - CV - M3	

5.3 Baseline Eligible Sales Value (ESV) for Champion OEM Scheme for FY 2019-20

Particulars	Installed Annual Capacity as on 31/03/2020	Quantity	Domestic Net Sales	Export Net Sales	Total Net Sales
	(nos.)	(nos.)	(Rs. in crore)	(Rs. in crore)	(Rs. in crore)
AAT Vehicles					
Applicant					
2W BEV - L1					-
2W BEV - L2					-
3W BEV - L5M					-
3W BEV - L5N					-
Quadricycle BEV - L7M					-
Quadricycle BEV - L7N					-
PV BEV - M1					-
PV BEV - M2					-
CV BEV - N1					-
CV BEV - N2					-
CV BEV - N3					-
CV BEV - M3					-
Tractor BEV - A7					-
Military use BEV					-
Other BEV					-
Hydrogen Fuel Cell - PV - M1					-
Hydrogen Fuel Cell - PV - M2					-
Hydrogen Fuel Cell - CV - N1					-
Hydrogen Fuel Cell - CV - N2					-
Hydrogen Fuel Cell - CV - N3					-
Hydrogen Fuel Cell - CV - M3					-
Sub-Total for Applicant			-	-	-
Subsidiary(ies) in India					
2W BEV - L1					-
2W BEV - L2					-
3W BEV - L5M					-
3W BEV - L5N					-
Quadricycle BEV - L7M					-
Quadricycle BEV - L7N					-
PV BEV - M1					-
PV BEV - M2					-
CV BEV - N1					-
CV BEV - N2					-
CV BEV - N3					-
CV BEV - M3					-
Tractor BEV - A7					-
Military use BEV					-
Other BEV					-
Hydrogen Fuel Cell - PV - M1					-
Hydrogen Fuel Cell - PV - M2					-
Hydrogen Fuel Cell - CV - N1					-
Hydrogen Fuel Cell - CV - N2					-
Hydrogen Fuel Cell - CV - N3					-
Hydrogen Fuel Cell - CV - M3					-
Sub-Total for Subsidiary(ies)			-	-	-
Total			-	-	-

Self certification on Baseline eligible sales value to be uploaded in Document Uploads section.

5.4 List of AAT Components for which applicant is applying under the scheme

Product ID	Product Name	Add

5.5 Baseline Eligible Sales Value (ESV) for Component Champion scheme for FY 2019-20

Particulars	Installed Annual Capacity as on	Quantity	Domestic Net Sales	Export Net Sales	Total Net Sales
AAT Components	(with unit)	(with unit)	(Rs. in crore)	(Rs. in crore)	(Rs. in crore)
Applicant					
Product 1					-
Product 2					-
Product 3					-
Product 4					-
Product 5					-
Sub-Total for Applicant					
Subsidiary(ies) in India					
Product 1					-
Product 2					-
Product 3					-
Product 4					-
Product 5					-
Sub-Total for Subsidiary(ies)			-	-	-
Total for Eligible Products					

Self certification on Baseline eligible sales value to be uploaded in Document Uploads section.

5.6 Remarks/ Comments, if any, for Section 5 of the Application Form

Remarks/ Comments

(All fields are mandatory)

6.1 Data for Eligible Sales/ Net Sales for FY 2020-21

Instructions: i. Net Sales means Gross Sales net of credit notes (raised for any purpose), returns, allowances, discounts (including but not limited to cash, volume, turnover, target or for any other purpose), taxes applicable.

6.2 Eligible Sales Value (ESV) for Champion OEM Scheme for FY 2020-21

Particulars	Installed Annual Capacity as on 31/03/2021 (nos.)	Quantity (nos.)	Domestic Net Sales (Rs. in crore)	Export Net Sales (Rs. in crore)	Total Net Sales (Rs. in crore)
AAT Vehicles					
Applicant					
2W BEV - L1					-
2W BEV - L2					-
3W BEV - L5M					-
3W BEV - L5N					-
Quadricycle BEV - L7M					-
Quadricycle BEV - L7N					-
PV BEV - M1					-
PV BEV - M2					-
CV BEV - N1					-
CV BEV - N2					-
CV BEV - N3					-
CV BEV - M3					-
Tractor BEV - A7					-
Military use BEV					-
Other BEV					-
Hydrogen Fuel Cell - PV - M1					-
Hydrogen Fuel Cell - PV - M2					-
Hydrogen Fuel Cell - CV - N1					-
Hydrogen Fuel Cell - CV - N2					-
Hydrogen Fuel Cell - CV - N3					-
Hydrogen Fuel Cell - CV - M3					-
Sub-Total for Applicant			-	-	-
Subsidiary(ies) in India					
2W BEV - L1					-
2W BEV - L2					-
3W BEV - L5M					-
3W BEV - L5N					-
Quadricycle BEV - L7M					-
Quadricycle BEV - L7N					-
PV BEV - M1					-
PV BEV - M2					-
CV BEV - N1					-
CV BEV - N2					-
CV BEV - N3					-
CV BEV - M3					-
Tractor BEV - A7					-
Military use BEV					-
Other BEV					-
Hydrogen Fuel Cell - PV - M1					-
Hydrogen Fuel Cell - PV - M2					-
Hydrogen Fuel Cell - CV - N1					-
Hydrogen Fuel Cell - CV - N2					-
Hydrogen Fuel Cell - CV - N3					-
Hydrogen Fuel Cell - CV - M3					-
Sub-Total for Applicant			-	-	-
Total			-	-	-

6.3 Eligible Sales Value (ESV) for Component Champion scheme for FY 2020-21

Particulars	Installed Annual Capacity as on 31/03/2021	Quantity	Domestic Net Sales	Export Net Sales	Total Net Sales
AAT Components	(with unit)	(with unit)	(Rs. in crore)	(Rs. in crore)	(Rs. in crore)
Applicant					
Product 1					-
Product 2					-
Product 3					-
Product 4					-
Product 5					-
Subsidiary(ies) in India					
Product 1					-
Product 2					-
Product 3					-
Product 4					-
Product 5					-
Total			-	-	-
Total for Eligible Products					

6.4 Remarks/ Comments, if any, for Section 6 of the Application Form

Remarks/ Comments

(All fields are mandatory)

7.1 Committed Cumulative Domestic Investment (including subsidiary(ies))

Instructions: i. Committed Cumulative Domestic Investment to be given for FY 2021-22 onwards, not to include value on or before 31/03/2021.
ii. If applicant is proposing to invest Rs. 50 crore in FY 2021-22 and Rs. 100 crore in FY 2022-23, cumulative investment to be shown as Rs. 50 crore in FY 2021-22 and Rs. 150 crore in FY 2022-23.

(Rs. in crore)

Committed Cumulative Domestic Investment	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26	FY 2026-27
Champion OEM Scheme						
Component Champion Scheme						

Project Report/ Business Plan (incl. for Subsidiary(ies)) to be uploaded in Document Upload Section.

7.2 Forecasted Eligible Sales (including subsidiary(ies))

Instructions: The applicant will have to meet the 10% Year on Year growth criteria from the minimum threshold of Rs. 125 crore (Champion OEM scheme) & Rs. 25 crore (Component champion scheme) fixed for Determined sales from the first year.

Eligible Product: Goods manufactured in India and covered under the List of Advanced Automotive Technology (AAT) products (Vehicles and Components) as per the clause 15 of the scheme guidelines.

(Rs. in crore)

Eligible Sales Value	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26	FY 2026-27
Eligible Products - Champion OEM Scheme						
Domestic Net Sales						
Export Net Sales						
Eligible Products - Component Champion Scheme						
Domestic Net Sales						
Export Net Sales						

Determined Sales Value (Auto-populated)	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26	FY 2026-27
Eligible Products - Champion OEM Scheme						
Domestic Net Sales						
Export Net Sales						
Eligible Products - Component Champion Scheme						
Domestic Net Sales						
Export Net Sales						



7.3 Proposed Plan for Employment Generation in India (next 6 years)

Instructions: i. Cumulative employment to be given from 01/04/2021 onwards, not to include value on or before 31/03/2021.

ii. If applicant is proposing to add 1000 employees in FY 2021-22 and 1500 employees in FY 2022-23, cumulative employment to be shown as 1000 in FY 2021-22 and 2500 in FY 2022-23.

(numbers)

Cumulative Employment	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26	FY 2026-27
On-roll labour/ employees						
Contractual						
Apprentice						
Total Cumulative Employment	-	-	-	-	-	-

7.4 Remarks/ Comments, if any, for Section 7 of the Application Form

Remarks/ Comments



(All fields are mandatory)

8.1 Bank Details

Account Name	IFCI-PMA-PLI-AUTO
Account No.	5161512781
Name of the Bank	Central Bank Of India
Branch Code	1410
Branch IFSC Code	CBIN0281410
Branch Name	Nehru Place Branch
Branch Address	59, Shakuntala Building, Nehru Place , New Delhi-110019

8.2 Application Fee (Non-refundable) Payment Details



Payment Date	Unique Reference No.	Bank Name	Amount (Rs.)
			[Drop down] Rs. 100,000 for Champion OEM Scheme Rs. 25,000 for Component Champion Scheme Rs. 125,000 for Both Schemes


Application Fee Payment Proof to be uploaded in Documents Upload section





(All fields are mandatory)

9.1 Document Uploads

Instructions: i. Please provide self-certified copies unless mentioned otherwise.
ii. Please do not attach any documents to this excel sheet. Documents should be uploaded on the portal - pliauto.in

Sec#	Document Name	Template	Uploaded	Remarks
1.1	Certificate of Incorporation (Issued by ROC)		<input type="checkbox"/>	
1.1	Memorandum & Articles of Association (Submitted to ROC)		<input type="checkbox"/>	
1.1	PAN Card		<input type="checkbox"/>	
1.2	Import Export Code (IEC) Registration Certificate		<input type="checkbox"/>	
2.2	Letter of Authorization by Board of Directors or Managing Director or Equivalent (incl. subsidiary(ies))	 Auth Letter	<input type="checkbox"/>	
2.4	Certificate from Company Secretary of the Applicant / Managing Director for Credit History (incl. subsidiary(ies))	 Credit History Cert	<input type="checkbox"/>	
2.4	CIBIL Report of the Company (incl. subsidiary(ies))		<input type="checkbox"/>	
2.5	Business Profile/ Corporate Presentation of the Applicant (incl. subsidiary(ies))		<input type="checkbox"/>	
2.6	GST Registration Certificate (incl. subsidiary(ies))		<input type="checkbox"/>	
2.7	Shareholding Pattern (Latest submitted with ROC and certified by Company Secretary of the company or in case applicant is not having any 'Company Secretary' - by the Managing Director) (incl. subsidiary(ies))		<input type="checkbox"/>	
2.8	Profile of Directors		<input type="checkbox"/>	

Sec#	Document Name	Template	Uploaded	Remarks
3.2	Self-Certification on: > EAMC - Global group revenue from automotive and/or auto component manufacturing and Global Investment in fixed assets (gross block), > NNIC - Global net worth Including break-up details especially for major/ indian entities. Major products considered shall also be mentioned.		<input type="checkbox"/>	
3.2	Annual Report of Holding Company		<input type="checkbox"/>	
3.3	Self-Certification on Revenue/ Investment/ Net worth from each of the Group Company (ies) whose credentials have been considered		<input type="checkbox"/>	
4.1	Annual Report of Applicant for 2018-19		<input type="checkbox"/>	
4.1	Annual Report of Applicant for 2019-20		<input type="checkbox"/>	
4.1	Annual Report of Applicant for 2020-21		<input type="checkbox"/>	
4.1	Financial Details of Subsidiary(ies)	 Financial Details-Subsidiary	<input type="checkbox"/>	
4.1	Annual Report of Subsidiary(ies) for 2018-19		<input type="checkbox"/>	
4.1	Annual Report of Subsidiary(ies) for 2019-20		<input type="checkbox"/>	
4.1	Annual Report of Subsidiary(ies) for 2020-21		<input type="checkbox"/>	
5.3 5.5	Self Certificate mentioning Baseline Eligible Sales Value of Eligible products covered under the List of Advanced automotive technology products (Vehicles and Components) as per the clause 15 of the scheme guidelines - for Financial Year 2019-20 -for applicant & subsidiary(ies)		<input type="checkbox"/>	

Sec#	Document Name	Template	Uploaded	Remarks
7.1	Project Report/ Business Plan (incl. for Subsidiary(ies))	 Business Plan	<input type="checkbox"/>	
8.2	Application Fee Payment Proof		<input type="checkbox"/>	
	Regulatory Treatment a. Provide information on Licenses, permits and third-party approvals necessary to execute the project as per format attached here with b. Proposed process and timelines for obtaining clearances	 License details	<input type="checkbox"/>	
	Undertaking for consent of audit/ verification (incl. Subsidiary(ies))	 Consent of Audit	<input type="checkbox"/>	
	Integrity Undertaking (Format A)	 IntegrityPact	<input type="checkbox"/>	

(All fields are mandatory)

10.1 Declaration

The data, documents, declaration and any other information submitted in this application form is based on the latest information available with the Applicant company and true and correct to the best of my knowledge and belief. I have read and understood the provisions of the Production Linked Incentive Scheme (PLI) for Automobile and Auto components industry (PLI-Auto) as notified vide notification no. CG-DL-E-23092021-229899 dated 23/09/2021 and relevant guidelines including FAQs thereto and have submitted all information, data, documents and declaration in accordance with the same. Any decision taken by Ministry of Heavy Industries (MHI) with respect to acceptance of this application, eligibility under the Scheme, and any other related matter will be final and binding on the Applicant company.

- I understand the terms & conditions

Date:

Auth. Signatory
Designation

Formats for Section 9.1 of the Application Form

**Letter of Authorization by Board of Directors or Managing Director or Equivalent
(incl. subsidiary(ies))**

(On the letterhead of the applicant)

Subject: Authorisation to act as Authorised Signatory on behalf of _____ (name of the company) for submission of application, information and all correspondence with Project Management Agency in connection with PLI Scheme

In connection with our application for incentive under Production Linked Incentive Scheme (PLI) for Automobile and Auto components industry (Auto) as notified vide notification no. CG-DL-E-23092021-229899 dated 23/09/2021 and relevant guidelines thereto,

We, _____ (name of the Applicant) a Company incorporated and registered under the Companies Act, 2013 (erstwhile Act 1 of 1956), with Corporate Identity Number _____ and PAN No. _____ and having its Registered Office at _____, do hereby authorise Mr/ Ms _____ (Name & Designation) to act as Authorised Signatory for:

- Submitting the application and all related data, documents, certificates and information on our behalf,
- To make representation, give undertakings and correspond with IFCI being the Project Management Agency,
- To submit correct and complete data, document, certificates and information in connection with determination of baseline sales and investments, claim verification and any other aspect related to PLI scheme.

We do hereby undertake and affirm that

- Mr/ Ms _____ (name and designation of the authorised signatory) is competent and authorised to submit complete and correct data, documents, certificates and information related to all aspects of PLI scheme and
- Any data, documents, certificate and information submitted by _____ (name and designation of authorised signatory), their statement and explanations shall be binding on _____ (name of the company).

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- He/ She is also authorised individually to accept, issue and make all communication in relation to the proceeding stated therein.

The sample signature of Mr/ Ms _____ (Name & Designation of authorised signatory) is endorsed herewith for reference:

Signature of the Authorised Signatory	Signature of person authorising
Name:	Name:
Designation:	Designation:

To be signed by Managing Director or Equivalent of the Company

In case authorisation is through Board of Directors, please attach certified copy of Board Resolution (to be authenticated by the Company Secretary/ Managing Director of the Company)

Certificate from Company Secretary of the Applicant / Managing Director for Credit History (incl. subsidiary(ies))

In connection with our application for incentive under Production Linked Incentive Scheme (PLI) for Automobile and Auto components industry (Auto) as notified vide notification no. CG-DL-E-23092021-229899 dated 23/09/2021 and relevant guidelines thereto,

We, _____ (name of the Applicant), a Company incorporated and registered under the Companies Act, 2013 (erstwhile Act 1 of 1956), with Corporate Identity Number _____ and PAN No. _____ and having Registered Office at _____, do hereby undertake as under:

1. That the names of the company and its directors do not figure in any list of defaulters/willful defaulters circulated by RBI/ CIBIL/ SEBI or any other Bank or Financial Institution.
2. That none of our associate/ group concerns in India are classified as defaulter/ willful defaulters by Banks/Financial Institutions.
3. That the names of the company, its directors or any associate/ group concern in India do not figure in any debarred list circulated by RBI/ CIBIL/ SEBI.
4. That there is no litigation/ dispute or proceedings pending against the company and its directors or any other group concern in India, in connection with any of the above mentioned clause.
5. That we shall immediately inform Project Management Agency (PMA) and Ministry of Heavy Industries (MHI) in case of name of applicant, its directors or any associate/ group concern in India is declared in any of the list mentioned above or any proceedings in relation to the above is initiated by RBI/ CIBIL/ SEBI and any Bank of Financial Institution.

Please give complete details, if status of any declaration mentioned at 1 to 4 above is not Nil.

To be signed by - Company Secretary/ Person authorised by Board of Directors in this behalf

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Format for Financial Details of Subsidiary Company

Instructions:

- i. The total revenue from operations should be matching with the revenue appearing in the P&L statement of the audited financial statements/ annual report.
- ii. Revenue from Eligible Products should be disclosed only to the extent of revenue from goods manufactured in India.
- iii. Eligible Products: List of Advanced Automotive Technology (AAT) products (Vehicles and Components) as per the clause 15 of the scheme guidelines.

<i><Subsidiary Company Name></i>			(Rs. in crore)
Revenue from Manufacturing Operations	2018-19	2019-20	2020-21
Domestic Manufacturing Revenue			
1.) AAT Vehicles			
2.) AAT Components			
3.) Other Vehicles			
4.) Other Components			
5.) Other Goods			
Export Manufacturing Revenue			
1.) AAT Vehicles			
2.) AAT Components			
3.) Other Vehicles			
4.) Other Components			
5.) Other Goods			
Income other than above			
Total Revenue	-	-	-
Profit before Tax			
Profit after Tax			

Annual Reports for 2018-19, 2019-20 & 2020-21 to be uploaded in Document Uploads section.

Indicative list of items to be included in Project Report/ Business Plan

- 1) Company History
 - a) Registration and constitution changes
 - b) Listing Status
 - c) Major funding rounds/ investments
 - d) Significant milestones
 - e) Major product launches
- 2) Proposed Products
- 3) Proposed Locations
 - a) Whether tentative or finalized
 - b) Status of readiness/ infrastructure availability of the site
- 4) Key Suppliers
- 5) Key Customers
- 6) Project Plan/ Implementation Schedule/ Key Milestones
- 7) Proposed Funding Plan/ Sources of Fund

Consent for audit of their manufacturing site/offices/ documents

(To be signed by full time Director / CEO / MD of the company duly depicting the Name & designation and submitted on official stationery of the applicant along- with the authorization to do so)

1. Whereas, the applicant namely *(name of manufacturer with address)* has submitted an application under Production Linked Incentive Scheme (PLI) for Automobile and Auto Component Industry to Ministry of Heavy Industries (MHI), Government of India seeking incentives for the application pertaining to manufacturing.....(Eligible Product) at.....(location(s)).

2. Now, therefore, the applicant or its agencies or its consultants engaged with the process of manufacturing of eligible products shall allow the PMA or any other authority as designated by MHI for verification & audit of manufacturing facility (ies)/ site(s)/ office(s) and relevant documents submitted for the approval of application and disbursement of incentives under PLI Scheme.

Date:

(Name & designation with address)

Full time Director / CEO / MD of the company

Subject: Proforma for Integrity compliance in PLI - Initial Undertaking(s)

(To be signed by full time Director / CEO / MD of the company / firm duly depicting the designation and submitted on official stationery of the applicant along- with the authorization to do so)

FORMAT-A

1. Whereas, the applicant namely (*name of company with address*) has submitted an application under Production Linked Incentive Scheme (PLI) for for Automobile and Auto Component Industry to Ministry of Heavy Industries (MHI), Government of India seeking incentives for the application pertaining to manufacturing.....(Eligible Products) at.....(location(s)).
2. Now, therefore, the applicant including its officers / representatives commits and undertakes that he / she will take all measures necessary to prevent corruption. He / She commits to observe the following principles during his / her association / engagement with MHI or its agencies or its consultants engaged with the process of appraisal and verification of application for the approval of application and disbursement of incentives under PLI.
 - 2.1 The PLI applicant will not directly or through any other person or firm, offer, promise or give to any of the MHI's officer(s) or consultant or agency representative (appraisal or / and verification agency appointed by MHI to handle the application) involved in the process of dealing with application or to any third person any material or other benefit which he / she is not legally entitled to in order to obtain in exchange any advantage of any kind whatsoever before or during or after the process of the application for grant of approval or disbursement of incentives under PLI.
 - 2.2 The PLI applicant will not commit any offence under the relevant IPC / PC Act; Further, the applicant will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the MHI.
 - 2.3 The PLI applicant shall disclose the name and address of the duly authorized Agents / Representatives who will be dealing with MHI or its agencies and the remuneration of these agents or representatives shall not include any hidden amount or component to get the work done in undue manner or causing inducement of whatsoever nature whether in cash or kind to influence the normal process or practice of work.
 - 2.4 The PLI applicant will disclose any and all payments he / she has made, is committed to or intends to make to agents, brokers or any other intermediaries, other than regular employees or officials of the applicant, in connection with the grant of approval or / and disbursement of incentives.

PLI Auto - Application Form

- 2.5 The applicant will not offer any illicit gratification to obtain unfair advantage.
 - 2.6 The applicant will not collude with other parties to impair transparency and fairness.
 - 2.7 The applicant will not give any advantage to anyone in exchange for unprofessional behaviour.
3. The applicant declares that no pervious transgressions occurred in the last 3 years with any other Company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprises / Central or State Government or its any instrumentality in India.
4. The applicant agrees that if it is found that the applicant has made any incorrect statement on this subject, the application will be closed or rejected and MHI reserve the right to initiate legal action of whatsoever nature. In case if MHI has disbursed the incentives under PLI, the amount disbursed to applicant be recoverable along with interest calculated at 3 years SBI MCLR prevailing on the date of disbursement, compounded annually besides blacklisting of the applicant and initiation of legal action of whatsoever nature at the discretion of MHI.

The contents of the above undertaking have been gone through and after understanding the same is being executed / given on.....day of (month / year)

Signature

(Name & designation with address)
Director / CEO / MD
